



AUDIT CERTIFICATION

Subrecipient Organization:
Contact Name: Title:
Address:
City: State: Zip Code:
Phone: Fax: E-mail:
Tax ID #: Fiscal Period End Date:

Please complete the appropriate section below and return the completed and signed Audit Certification form and the requested documentation to: Subrecipient Monitoring, Office of Research and Sponsored Programs, The University of Montana, University Hall 202, Missoula, MT 59812-4104.

SECTION A: Organizations subject to the requirements of OMB Circular A-133

Please check one of the following and provide all appropriate documents:

- I hereby certify that for the fiscal year ended (date):
1. The auditor's report on financial data states that the audited information is fairly stated in all material respects; and
2. The administration of our federal projects has been audited in accordance with OMB Circular A-133 and there were no material instances of noncompliance with federal laws and regulations or reportable conditions; and
3. There were no findings of noncompliance in the audit report that are specifically related to the subrecipient award(s); and
4. Management has addressed the resolution of previous-year findings from prior audit reports if related to the subrecipient award(s).
Auditor's report filed on (date). Enclosed is a copy of the audit report.
We have completed our OMB Circular A-133 audit for the fiscal year ended (date), and material noncompliance issues and/or reportable conditions were noted. Enclosed is a copy of the audit report and our response.
We have not completed our OMB Circular A-133 audit for the fiscal year ended (date). We expect the audit to be completed by (date). Within (30) days of completion of the A-133 audit we will provide a new Audit Certification along with the audit report and response (if applicable).

Signature: Title: Date:

SECTION B: Organizations NOT subject to the requirements of OMB Circular A-133 (complete both 1 and 2 below)

- (1) Our organization is not subject to the requirements of OMB Circular A-133 because we:
Did not expend \$500,000 or more of federal funds during the fiscal year.
Are a for-profit organization.
Are a non-U.S.-based entity.
Are exempt for other reasons (explain):
(2) In lieu of an A-133 audit, and in compliance with University of Montana policy, we have enclosed:
An audited financial statement and, if applicable, the independent auditor's management letter.
An independent auditor's management review.
A copy of our most recent IRS tax return.
Other (explain):

Signature: Title: Date: