

COLLEGES AND UNIVERSITIES RATE AGREEMENT

EIN #:

DATE: July 30, 2009

INSTITUTION:
University of Montana, The
Research Administration
University Hall 116

FILING REF.: The preceding
Agreement was dated
September 23, 2003

Missoula

MT

59812-4104

The rates approved in this agreement are for use on grants, contracts and other agreements with the Federal Government, subject to the conditions in Section III.

SECTION I: FACILITIES AND ADMINISTRATIVE COST RATES*

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RATE TYPES: FIXED		FINAL	PROV. (PROVISIONAL)	PRED. (PREDETERMINED)	
TYPE	EFFECTIVE PERIOD		RATE (%)	LOCATIONS	APPLICABLE TO
	FROM	TO			
PRED.	07/01/09	06/30/10	41.5	On-Campus	Organized Res. (1)
PRED.	07/01/10	06/30/12	42.0	On-Campus	Organized Res. (1)
PRED.	07/01/12	06/30/13	41.5	On-Campus	Organized Res. (1)
PRED.	07/01/09	06/30/13	56.0	On-Campus	Instruction
PRED.	07/01/09	06/30/13	29.0	On-Campus	Other Spon Act
PRED.	07/01/09	06/30/13	26.0	Off-Campus	All Programs
PROV.	07/01/13	UNTIL AMENDED	Use same rates and conditions as those cited for fiscal year ending June 30, 2013.		

(1) Includes Forestry Research which was indicated as a separate rate in the previous rate agreement.

***BASE:**

Modified total direct costs, consisting of all salaries and wages, fringe benefits, materials, supplies, services, travel and subgrants and subcontracts up to the first \$25,000 of each subgrant or subcontract (regardless of the period covered by the subgrant or subcontract). Modified total direct costs shall exclude equipment, capital expenditures, charges for patient care, tuition remission, rental costs of off-site facilities, scholarships, and fellowships as well as the portion of each subgrant and subcontract in excess of \$25,000.

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SECTION II: SPECIAL REMARKS

TREATMENT OF FRINGE BENEFITS:

This organization charges the actual cost of each fringe benefit direct to Federal projects. However, it uses a fringe benefit rate which is applied to salaries and wages in budgeting fringe benefit costs under project proposals. The fringe benefits listed below are treated as direct costs.

DEFINITION OF OFF-CAMPUS: A project is considered off-campus if the activity is conducted at locations other than in University owned or operated facilities and indirect costs associated with physical plant and library are not considered applicable to the project.

TREATMENT OF PAID ABSENCES

Holiday and other paid absences (excluding annual and sick leave) are included in salaries and wages and are charged to Federal projects as part of the normal charge for salaries and wages. Separate charges for the cost of these absences are not made. A separate charge is made to Federal projects for annual and sick leave accruals. Charges for salaries and wages must exclude those paid to employees for periods when they are on annual or sick leave.

DEFINITION OF EQUIPMENT

Equipment is defined as tangible nonexpendable personal property having a useful life of more than one year and an acquisition cost of \$5,000 or more per unit.

The following fringe benefits are treated as direct costs:

FICA, UNEMPLOYMENT COMPENSATION, HEALTH INSURANCE, ACCIDENT INSURANCE, AND RETIREMENT.

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SECTION III: GENERAL

A. LIMITATIONS:

The rates in this Agreement are subject to any statutory or administrative limitations and apply to a given grant, contract or other agreement only to the extent that funds are available. Acceptance of the rates is subject to the following conditions: (1) Only costs incurred by the organization were included in its facilities and administrative cost pools as finally accepted; such costs are legal obligations of the organization and are allowable under the governing cost principles; (2) The same costs that have been treated as facilities and administrative costs are not claimed as direct costs; (3) Similar types of costs have been accorded consistent accounting treatment; and (4) The information provided by the organization which was used to establish the rates is not later found to be materially incomplete or inaccurate by the Federal Government. In such situations the rate(s) would be subject to renegotiation at the discretion of the Federal Government.

B. ACCOUNTING CHANGES:

This Agreement is based on the accounting system purported by the organization to be in effect during the Agreement period. Changes to the method of accounting for costs which affect the amount of reimbursement resulting from the use of this Agreement require prior approval of the authorized representative of the cognisant agency. Such changes include, but are not limited to, changes in the charging of a particular type of cost from facilities and administrative to direct. Failure to obtain approval may result in cost disallowances.

C. FIXED RATES:

If a fixed rate is in this Agreement, it is based on an estimate of the costs for the period covered by the rate. When the actual costs for this period are determined, an adjustment will be made to a rate of a future year(s) to compensate for the difference between the costs used to establish the fixed rate and actual costs.

D. USE BY OTHER FEDERAL AGENCIES:

The rates in this Agreement were approved in accordance with the authority in Office of Management and Budget Circular A-11 Circular, and should be applied to grants, contracts and other agreements covered by this Circular, subject to any limitations in A above. The organization may provide copies of the Agreement to other Federal Agencies to give them early notification of the Agreement.

BY THE INSTITUTION:

University of Montana, The
Research Administration

(INSTITUTION)

Daniel J. Dwyer

(SIGNATURE)

Daniel J. Dwyer

(NAME)

Vice President for Research

(TITLE)

August 5, 2009

(DATE)

ON BEHALF OF THE FEDERAL GOVERNMENT:

DEPARTMENT OF HEALTH AND HUMAN SERVICES

(AGENCY)

Wallace Chan

(SIGNATURE)

Wallace Chan

(NAME)

DIRECTOR, DIVISION OF COST ALLOCATION

(TITLE)

July 30, 2009

(DATE) 1066

HHS REPRESENTATIVE: Ernest L. Willard

Telephone: (415) 437-7820

**UNIVERSITY OF MONTANA - MISSOULA
FACILITIES AND ADMINISTRATIVE COST RATES
FOR THE PERIOD JULY 1, 2009 THROUGH JUNE 30, 2013**

**EXHIBIT A
PAGE 1 OF 1**

	ORGANIZED RESEARCH								
	JULY 1, 2009 THROUGH JUNE 30, 2010			JULY 1, 2010 THROUGH JUNE 30, 2012			JULY 1, 2012 THROUGH JUNE 30, 2013		
	ON-CAMPUS	OFF-CAMPUS		ON-CAMPUS	OFF-CAMPUS		ON-CAMPUS	OFF-CAMPUS	
BUILDING DEPRECIATION		1.60%			1.70%			1.60%	
EQUIPMENT DEPREC		0.70%			0.70%			0.70%	
INTEREST		0.80%			0.80%			0.80%	
OPERATIONS & MAINT		11.50%			11.80%			11.50%	
LIBRARY		0.60%			1.00%			0.90%	
GENERAL ADMIN	6.20%			6.20%			6.20%		
DEPT ADMIN	14.70%			14.70%			14.70%		
SPON PROJ ADMIN	3.10%			3.10%			3.10%		
ADMIN COMPONENTS	26.00%	26.00%	26.00%	26.00%	26.00%	26.00%	26.00%	26.00%	26.00%
TOTAL		41.50%	26.00%		42.00%	26.00%		41.50%	26.00%

	INSTRUCTION			OTHER SPONSORED ACT		
	JULY 1, 2009 THROUGH JUNE 30, 2013			JULY 1, 2009 THROUGH JUNE 30, 2013		
	ON-CAMPUS	OFF-CAMPUS		ON-CAMPUS	OFF-CAMPUS	
BUILDING DEPRECIATION		2.50%			0.20%	
EQUIPMENT DEPREC		0.90%			0.10%	
INTEREST		0.90%			0.20%	
OPERATIONS & MAINT		13.20%			2.40%	
LIBRARY		12.50%			0.10%	
GENERAL ADMIN	3.10%			6.20%		
DEPT ADMIN	16.60%			14.70%		
SPON PROJ ADMIN	1.20%			3.10%		
STUDENT SERV ADMIN	6.20%					
ADMIN COMPONENTS	26.10%	26.00%	26.00%	26.00%	26.00%	26.00%
TOTAL		56.00%	26.00%		29.00%	26.00%

ADMINISTRATIVE COMPONENTS ARE CAPPED AT 26.0% IN ACCORDANCE WITH OMB A-21, DATED JULY 28, 1993.

CONCUR:

(SIGNATURE)

Vice President for Research & Development

TITLE

July 29, 2009

DATE